


Required Written Documentation (RWD)

This chapter provides a list of elements of performance (EPs) that require written documentation. You may find it useful to use this document as a checklist to maintain continuous compliance with the requirements.

The Joint Commission’s focus is on performance and implementation rather than documentation. The standards, consequently, require documentation only when it is essential. The documentation icon is used to identify data collection and documentation requirements that are in addition to information found in the medical record. For example, the documentation icon——is applied to an EP that requires a written procedure, but the icon is not applied to an EP that lists the required components of the medical record. Other examples in which the documentation icon is applied are EPs that require a policy, a written plan, bylaws, a license, evidence of testing, data, performance improvement reports, medication labels, safety data sheets, and meeting minutes. Documentation can be on paper or in an electronic format.

While documentation is important, the primary emphasis of the survey will be on how your critical access hospital carries out the functions described in the *Comprehensive Accreditation Manual for Critical Access Hospitals (CAMCAH)*. The surveyors may use a combination of data sources, including interviews with leaders of the critical access hospital, staff, patients, and patients’ family members; visits to patient care settings; and a review of documentation to arrive at an assessment of the critical access hospital’s compliance with a standard.

Note: *This list is meant to be a guide only. The names and format of specific documents may vary from organization to organization.*

List of EPs Requiring Written Documentation for Critical Access Hospitals by Service

Acute

Accreditation Participation Requirements (APR)

APR.01.03.01, EPs 1, 2
APR.04.01.01, EP 16

APR.05.01.01, EP 1

Environment of Care (EC)

EC.01.01.01, EPs 4-9
EC.02.01.01, EPs 1, 9
EC.02.01.03, EP 1
EC.02.02.01, EPs 1, 3, 11
EC.02.03.01, EP 9
EC.02.03.03, EPs 1, 2, 5
EC.02.03.05, EPs 1-20, 25, 27, 28
EC.02.04.01, EPs 2-7, 9

EC.02.04.03, EPs 2-5, 20-24, 34
EC.02.05.01, EPs 3-8, 10, 15, 17, 20
EC.02.05.02, EPs 2-4
EC.02.05.03, EPs 14, 15
EC.02.05.05, EPs 2, 4-7
EC.02.05.07, EPs 1-10
EC.02.05.09, EPs 7, 10

Emergency Management (EM)

EM.01.01.01, EPs 2, 3, 8
EM.02.01.01, EPs 2, 4, 7, 12, 14-16
EM.02.02.01, EPs 4, 7, 12, 20-22
EM.02.02.03, EP 9
EM.02.02.05, EP 4
EM.02.02.07, EPs 2, 7, 8, 11, 13-15, 21

EM.02.02.09, EPs 2-4, 7
EM.02.02.11, EPs 3, 5, 12
EM.02.02.13, EPs 2, 4, 8
EM.02.02.15, EPs 2, 4, 8
EM.03.01.01, EPs 1-3
EM.03.01.03, EPs 3, 14
EM.04.01.01, EPs 1-3

Human Resources (HR)

HR.01.01.01, EPs 1-5, 32, 33
 HR.01.02.01, EP 2
 HR.01.02.05, EP 15
 HR.01.04.01, EPs 1, 3

HR.01.05.03, EPs 1, 14, 25
 HR.01.06.01, EPs 5, 6
 HR.01.07.01, EP 2

Infection Prevention and Control (IC)

IC.01.01.01, EP 4
 IC.01.03.01, EP 3
 IC.01.04.01, EP 1

IC.01.05.01, EPs 2, 5
 IC.01.06.01, EP 4
 IC.02.04.01, EPs 4, 6, 8

Information Management (IM)

IM.01.01.01, EP 5
 IM.01.01.03, EP 1
 IM.02.01.01, EP 1

IM.02.01.03, EPs 1, 2
 IM.02.02.01, EP 2

Leadership (LD)

LD.01.03.01, EPs 1, 3
 LD.02.02.01, EPs 1, 2
 LD.03.01.01, EP 4
 LD.03.09.01, EP 10

LD.04.01.01, EPs 1, 5
 LD.04.02.01, EP 2
 LD.04.03.09, EPs 2, 3, 5, 10, 23

Life Safety (LS)

LS.01.01.01, EPs 2, 3, 5, 7

LS.01.02.01, EPs 1, 2, 12

Medication Management (MM)

MM.01.01.01, EP 1
 MM.01.01.03, EP 1
 MM.01.02.01, EP 1
 MM.02.01.01, EPs 4, 12
 MM.03.01.01, EP 4
 MM.04.01.01, EPs 1, 2, 10
 MM.05.01.17, EP 1

MM.06.01.01, EP 1
 MM.06.01.03, EP 1
 MM.06.01.05, EP 1
 MM.07.01.03, EPs 1, 2
 MM.08.01.01, EP 16
 MM.09.01.01, EPs 5-8

Medical Staff (MS)

MS.01.01.01, EP 1	MS.06.01.09, EP 4
MS.03.01.01, EPs 6, 10	MS.07.01.01, EP 1
MS.05.01.01, EP 16	MS.08.01.01, EPs 2, 3, 5, 7, 8
MS.06.01.03, EPs 4, 6	MS.08.01.03, EP 1
MS.06.01.05, EPs 2, 6, 8, 15	MS.09.01.01, EP 1
MS.06.01.07, EP 2	

National Patient Safety Goals (NPSG) (including the Universal Protocol [UP])

NPSG.02.03.01, EP 1	NPSG.15.01.01, EPs 1, 5
NPSG.03.05.01, EP 4	UP.01.01.01, EP 2
NPSG.03.06.01, EPs 1, 4	UP.01.02.01, EP 5
NPSG.06.01.01, EP 3	UP.01.03.01, EP 5

Nursing (NR)

NR.01.01.01, EP 5	NR.02.02.01, EP 1
NR.01.02.01, EP 3	

Provision of Care, Treatment, and Services (PC)

PC.01.01.01, EP 2	PC.01.02.15, EPs 5, 13
PC.01.02.01, EPs 1, 2, 14-16	PC.02.01.19, EP 2
PC.01.02.03, EP 1	PC.02.04.05, EP 12
PC.01.02.07, EPs 1, 4, 5, 7, 8	PC.04.01.01, EP 26
PC.01.02.09, EPs 1, 2	

Record of Care, Treatment, and Services (RC)

RC.01.03.01, EP 2	RC.02.03.07, EP 1
-------------------	-------------------

Rights and Responsibilities of the Individual (RI)

RI.01.01.01, EP 1
RI.01.02.01, EP 3
RI.01.03.01, EPs 1, 3

RI.01.03.05, EP 4
RI.01.05.01, EPs 1, 9, 15

Transplant Safety (TS)

TS.01.01.01, EPs 1, 3, 4, 6, 8, 12
TS.03.01.01, EPs 2, 6-8

TS.03.02.01, EPs 1-3
TS.03.03.01, EP 1

Waived Testing (WT)

WT.01.01.01, EPs 2-4
WT.02.01.01, EPs 1, 2
WT.03.01.01, EPs 2-4, 6

WT.04.01.01, EP 1
WT.05.01.01, EP 1

Inpatient Rehab Distinct Part Unit

Environment of Care (EC)

EC.02.04.03, EP 16
EC.02.05.03, EPs 14, 15

EC.04.01.01, EP 15

Leadership (LD)

LD.04.01.01, EP 9
LD.04.01.03, EP 4

LD.04.01.05, EP 3

Medication Management (MM)

MM.02.01.01, EP 1
MM.03.01.01, EPs 4, 24

MM.04.01.01, EPs 1, 15
MM.08.01.01, EP 16

Medical Staff (MS)

MS.03.01.01, EPs 13, 14

National Patient Safety Goals (NPSG)

NPSG.15.01.01, EPs 1, 5

Provision of Care, Treatment, and Services (PC)

PC.02.02.03, EP 22

PC.03.01.08, EPs 1, 2

PC.03.05.09, EP 1

PC.03.05.17, EP 5

PC.03.05.19, EPs 2, 3

PC.04.01.01, EP 32

PC.05.01.09, EP 1

Record of Care, Treatment, and Services (RC)

RC.01.05.01, EP 1

RC.02.01.03, EP 15

Rights and Responsibilities of the Individual (RI)

RI.01.07.01, EP 18

Psychiatric Distinct Part Unit

Environment of Care (EC)

EC.02.04.03, EP 16

EC.02.05.03, EPs 14, 15

EC.04.01.01, EP 15

Leadership (LD)

LD.04.01.01, EP 9

LD.04.01.03, EP 4

LD.04.01.05, EP 3

Medication Management (MM)

MM.02.01.01, EP 1
MM.03.01.01, EPs 4, 24

MM.04.01.01, EPs 1, 15
MM.08.01.01, EP 16

Medical Staff (MS)

MS.03.01.01, EPs 13, 14

National Patient Safety Goals (NPSG)

NPSG.15.01.01, EPs 1, 5

Provision of Care, Treatment, and Services (PC)

PC.02.02.03, EP 22
PC.03.01.08, EPs 1, 2
PC.03.05.09, EP 1
PC.03.05.17, EP 5

PC.03.05.19, EPs 2, 3
PC.04.01.01, EP 32
PC.05.01.09, EP 1

Record of Care, Treatment, and Services (RC)

RC.01.05.01, EP 1

RC.02.01.03, EP 15

Rights and Responsibilities of the Individual (RI)

RI.01.07.01, EP 18

Swing Beds

Environment of Care (EC)

EC.02.05.03, EPs 14, 15

Leadership (LD)

LD.04.02.03, EP 13

Medication Management (MM)

MM.03.01.01, EP 4

MM.08.01.01, EP 16

MM.04.01.01, EP 1

National Patient Safety Goals (NPSG)

NPSG.03.05.01, EP 4

NPSG.15.01.01, EPs 1, 5

Provision of Care, Treatment, and Services (PC)

PC.04.01.03, EPs 5, 6

PC.04.01.07, EP 2

Rights and Responsibilities of the Individual (RI)

RI.01.06.01, EP 1

RI.01.06.03, EPs 4, 5